

ARBITRATOR QUESTIONNAIRE

I have reviewed the list of parties, counsel and disclosed potential witnesses and, after conducting a conflicts check, answer the following questions and execute the Oath shown below:

- | | Yes | No |
|--|--------------------------|--------------------------|
| 1. Do you or your law firm presently represent any person in a proceeding involving any party to the arbitration? | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. Have you represented any person against any party to this arbitration? | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. Have you had a professional or social relationship with counsel for any party in this proceeding or the firms for which they work? | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. Have you had any professional or social relationship with any parties or witnesses identified to date in this proceeding or the entities for which they work? | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. Have you had any professional or social relationship of which you are aware with any relative of any of the parties to this proceeding, or any relative of counsel to this proceeding, or any of the witnesses identified to date in this proceeding? | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. Have you, any member of your family, or any close social or business associate ever served as an arbitrator in a proceeding in which any of the identified witnesses or named individual parties gave testimony? | <input type="checkbox"/> | <input type="checkbox"/> |
| 7. Have you, any member of your family, or any close social or business associate been involved in the last five years in a dispute involving the subject matter contained in the case, which you are assigned? | <input type="checkbox"/> | <input type="checkbox"/> |
| 8. Have you ever served as an expert witness or consultant to any party, attorney, witness or other arbitrator identified in this case? | <input type="checkbox"/> | <input type="checkbox"/> |
| 9. Have any of the party representatives, law firms or parties appeared before you in past arbitration cases? | <input type="checkbox"/> | <input type="checkbox"/> |
| 10. Are you a member of any undisclosed organization that may be relevant to this arbitration? | <input type="checkbox"/> | <input type="checkbox"/> |
| 11. Have you ever sued or been sued by either party or its representative? | <input type="checkbox"/> | <input type="checkbox"/> |
| 12. Do you or your spouse own stock in any of the companies involved in this arbitration? | <input type="checkbox"/> | <input type="checkbox"/> |
| 13. If there is more than one arbitrator appointed to this case, have you had any professional or social relationships with any of the other arbitrators? | <input type="checkbox"/> | <input type="checkbox"/> |
| 14. Are there any connections, direct or indirect, with any of the case participants that have not been covered by the above questions? | <input type="checkbox"/> | <input type="checkbox"/> |

Should the answer to any question be “Yes”, or if I am aware of any other information that may lead to a justifiable doubt as to my impartiality or independence or create an appearance of partiality, I have described the nature of such on an attached page.

I have conducted a check for conflicts and have **nothing to disclose**.

I have conducted a check for conflicts and have **made disclosures on an attached sheet**.

State of Texas

ARBITRATOR'S OATH



County of _____

I have diligently conducted a conflicts check, including a thorough review of the information provided to me about the case to date, have performed my obligations and duties to disclose in accordance with the applicable Rules, Code of Ethics for Commercial Arbitrators and all applicable statutes pertaining to arbitrator disclosures. I understand that my obligation to check for conflicts and make disclosures is ongoing for the length of my service as an arbitrator in this matter.

The Arbitrator, being duly sworn, hereby accepts this appointment and will faithfully and fairly hear and decide the matters in controversy between the parties in accordance with their arbitration agreement, the Code of Ethics for Commercial Arbitrators, and the Rules and will make an Award according to the best of his or her understanding.

Dated: _____

Signed: _____

Sworn before me this ____ day of _____, 200____.

Notary Public, State of Texas